OIPE IAP2
JUN 14 2006
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Judith E. Schwabe		
Assignee:	Sun Microsystems, Inc.		
Title:	REMOTE INCREMENTAL PROGRAM VERIFICATION USING API DEFINITIONS		
Serial No.:	10/661,043	Filed:	September 12, 2003
Examiner:	Jason D. Mitchell	Group Art Unit:	2193
Docket No.:	P-4172CNT		

Monterey, CA
May 31, 2006

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF DOCUMENTS REQUESTED BY EXAMINER

Sir:

As per your request, please find enclosed copies of the following non-patent documents, which were first cited in the parent application for the above application.

FOREIGN DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY
1)	EP 0 685 792	05/24/1995	EP
2)	WO 98/19237	05/07/1998	WO
3)	WO 98/37526	02/19/1998	WO
4)	EP 0 718 761 A1	12/19/1995	EP
5)	WO 00/00890	01/06/2000	EP
6)	WO 01/14958	03/01/2001	FR

OTHER DOCUMENTS

7)	David Basin "Java Bytecode Verification by Model Checking" System Abstract, pgs. 492-495 IT-Research Security (TZ/FE34)

GUNNISON, McKAY & HODGSON, L.L.P.
Garden West Office Plaza, Suite 220
1900 Garden Road
Monterey, CA 93940
(831) 655-0880
Fax (831) 655-0888

OIPE IAP2
JUN 14 2006
PATENT & TRADEMARK OFFICE

8)	Daniels, John et al., "Strategies For Sharing Objects in Distributed Systems" JOOP, Object Designers Ltd., UK, pages 27-36
9)	Chan, "Infrastructure of Multi-Application Smart Card", http://home.hkstar.com/~alanchan/papers/multiApplicationSmartCard/, July 25, 2002
10)	Chen, Zhiqun, "Java Card™ Technology for Smart Cards", Sun Microsystems, pages 11-16, June, 2000
11)	Ritchey, Tim, "Advanced Topics: The Java Virtual Machine", Java!, Chapter 14, pages 325-346, September 22, 1995
12)	Sun Microsystems, Inc., "Java Card™ 2.0 Language Subset and Virtual Machine Specification", October 13, 1997, Revision 1.0 Final.
13)	Sun Microsystems, Inc., "Java Card™ 2.0 Programming Concepts", October 15, 1997, Revision 1.0 Final.
14)	"Sun Delivers On Vision to Bring JAVA Technology to the Consumer and Embedded Market", Business Wire, September 28, 1999
15)	"Sun Microsystems Announces JAVACARD API", Business Wire, October 1996
16)	Sun Microsystems: "Sun's JAVA Technology Leads Open Worldwide Market for Smart Cards", M2, Presswire, May 1999
17)	George E. Necula, et al., "Proof-Carrying Code", November 1996, pp. 1-60
18)	Joachim Posegga, et al., "Byte Code Verification for Java Smart Cards Based on Model Checking", September 16-18, 1998, pp.176-190

If the Examiner has any questions concerning these documents, the Examiner is respectfully requested to contact the undersigned attorney for the applicant.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 31, 2006.

Attorney for Applicant(s)

May 31, 2006
Date of Signature

Respectfully submitted,

Forrest Gunnison
Attorney for Applicant(s)
Reg. No. 32,899
(831) 655-0880

GUNNISON, McKAY & HODGSON, L.L.P.
Garden West Office Plaza, Suite 220
1900 Garden Road
Monterey, CA 93940
(831) 655-0880
Fax (831) 655-0888

OIPE JC18
JUL 05 2005
PATENT & TRADEMARK OFFICE

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Judith E. Schwabe		
Assignee:	Sun Microsystems, Inc.		
Title:	REMOTE INCREMENTAL PROGRAM VERIFICATION USING API DEFINITIONS		
Serial No.:	10/661,043	Filed:	September 12, 2003
Examiner:	Unassigned	Group Art Unit:	2184
Docket No.:	P-4172CNT		

Monterey, CA
July 1, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each non-US Patent reference is enclosed) to the attention of the Examiner:

U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME
1)	5,907,704	05/1999	Gudmundson et al.
2)	5,966,702	10/1999	Fresko et al.
3)	6,427,227	07/2002	Chamberlain
4)	6,434,744	08/2002	Chamberlain et al.
5)	6,363,488	03/2002	Ginter et al.
6)	5,748,980	05/1998	Lipe et al.
7)	5,724,272	03/1998	Mitchell et al.
8)	6,748,555	06/2004	Teegan et al.

GUNNISON, McKAY & HODGSON, L.L.P.
Garden West Office Plaza, Suite 220
1900 Garden Road
Monterey, CA 93940
(831) 655-0880
Fax (831) 655-0888

9)	6,742,177	05/2004	Dorak et al.
10)	6,721,941	04/2004	Morshed et al.
11)	6,708,324	03/2004	Solloway et al.

OTHER DOCUMENTS

1)	Czajkowski, Grzegorz et al., "JRes: A Resource Accounting Interface for Java", pages 21-35, ACM, Vancouver, B.C., 1998.
2)	Ostroff, Jonathan S., "Automated Modular Specification and Verification of Real-Time Reactive Systems", pages 108-121, IEEE, 1995.
3)	Abdulla, Parosh et al., "Verifying Programs with Unreliable Channels", pages 160-170, IEEE, 1993.

A PTO form 1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 1, 2005.

Attorney for Applicant(s)

July 1, 2005
Date of Signature

Respectfully submitted,

Forrest Gunnison
Attorney for Applicant(s)
Reg. No. 32,899
(831) 655-0880

GUNNISON, McKAY & HODGSON, L.L.P.
Garden West Office Plaza, Suite 220
1900 Garden Road
Monterey, CA 93940
(831) 655-0880
Fax (831) 655-0888

OIPE JUL 05 2005 PATENT & TRADEMARK OFFICE

Form PTO-1449	Atty Docket No.	Serial No.
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	P-4172CNT	10/661,043
	Applicant(s) Judith E. Schwabe	
(Use several sheets if necessary)	Filing Date September 12, 2003	Group 2184

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,907,704	05/1999	Gudmundson et al.	717	100	
	AB	5,966,702	10/1999	Fresko et al.	707	1	
	AC	6,427,227	07/2002	Chamberlain	717	124	
	AD	6,434,744	08/2002	Chamberlain et al.	717	168	
	AE	6,363,488	03/2002	Ginter et al.	713	201	
	AF	5,748,980	05/1998	Lipe et al.	710	8	
	AG	5,724,272	03/1998	Mitchell et al.	702	123	
	AH	6,748,555	06/2004	Teegan et al.	714	38	
	AI	6,742,177	05/2004	Dorak et al.	717	124	
	AJ	6,721,941	04/2004	Morshed et al.	717	127	
	AK	6,708,324	03/2004	Solloway et al.	717	124	

FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

	AR	Czajkowski, Grzegorz et al., "JRes: A Resource Accounting Interface for Java", pages 21-35, ACM, Vancouver, B.C., 1998.
	AS	Ostroff, Jonathan S., "Automated Modular Specification and Verification of Real-Time Reactive Systems", pages 108-121, IEEE, 1995.
	AT	Abdulla, Parosh et al., "Verifying Programs with Unreliable Channels", pages 160-170, IEEE, 1993.

Examiner _____ Date Considered 5/17/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

MAY 13 2004

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172CNT	Serial No. 09/661,043
Information Disclosure Statement by Applicant	Applicant: Judith E. Schwabe	
(Use several sheets if necessary)	Filed: September 12, 2003	Group: Unassigned

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	A	5,313,616	5/17/94	Cline et al.			
	B	6,425,118	7/23/02	Molloy et al.			
	C	5,022,028	6/4/91	Edmonds et al.			
	D	6,178,504	1/23/01	Fieres et al.			
	E	6,389,467	5/14/02	Eyal			
	F	6,360,334	3/19/02	Kavanagh et al.			
	G	6,205,579	3/20/01	Southgate			
	H	6,347,398	2/12/02	Parthasarathy et al.			
	I	6,668,289	12/23/03	Cheng et al.			
	J	6,405,309	6/11/02	Cheng et al.			
	K	6,202,070	3/13/01	Nguyen et al.			
	L	5,925,140	7/20/99	Hudson			
	M	5,565,316	10/15/96	Kershaw et al.			

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner	Date Considered 8/10/06

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

OIPE JC67 MAY 04 2004

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172CNT	Serial No. 09/661,043
Information Disclosure Statement by Applicant	Applicant: Judith E. Schwabe	
(Use several sheets if necessary)	Filed: September 12, 2003	Group: Unassigned

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	A	2002/0040936	4/11/02	Wentker et al.			
	B	6,549,930	4/15/03	Chrysos et al.			
	C	6,349,344	2/19/02	Sauntry et al.			
	D	6,466,947	10/15/02	Arnold et al.			
	E	6,519,767	2/11/03	Carter et al.			
	F	5,408,665	4/18/95	Fitzgerals			
	G	5,974,255	10/26/99	Gossain et al.			
	H	6,526,571	2/25/03	Aizikowitz et al.			
	I	6,230,318	5/8/01	Halstead et al.			
	J	6,011,918	1/4/00	Cohen et al.			
	K	6,243,859	6/5/01	Chen-Kuang			
	L	6,262,492	7/17/01	Sheng			
	M	6,125,442	9/26/00	Maves et al.			
	N	6,005,942	12/1999	Chan et al.			
	O	5,022,028	6/1991	Edmonds et al.			
	P	6,178,504	1/2001	Fieres et al.			
	Q	6,389,467	5/2002	Eyal			
	R	6,360,334	3/2002	Kavanagh et al.			
	S	6,205,579	3/2001	Southgate			
	T	6,347,398	2/2002	Parthasarathy et al.			
	U	6,668,289	12/2003	Cheng et al.			
	V	6,405,309	6/2002	Cheng et al.			
	W	6,202,070	3/2001	Nguyen et al.			
	X	5,925,140	7/1999	Hudson			
	Y	RE 37,722	5/28/02	Burnard et al.			

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No
	Z	98/43212	10/1/98	WO				

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

	aa	Zhao, Jianjun, "Applying Program Dependence Analysis To Java Software", Department of Computer Science and Engineering, pp. 1-8.

Examiner	Date Considered 5/10/06

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

OIPE JC56
APR 19 2004
PATENT & TRADEMARK OFFICE

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172CNT	Serial No. 10/661,043
Information Disclosure Statement by Applicant	Applicant: Judith E. Schwabe	
(Use several sheets if necessary)	Filed: September 12, 2003	Group: Unassigned

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	A	5,490,249	2/6/96	Miller			
	B	5,652,835	7/29/97	Miller			
	C	6,466,947	10/15/02	Arnold et al.			

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner	Date Considered 8/17/06

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

OIPE JC48
MAR 10 2004
PATENT & TRADEMARK OFFICE

Form PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172CNT	Serial No. 10/661,043
Information Disclosure Statement by Applicant	Applicant: Judith E. Schwabe et al.	
(Use several sheets if necessary)	Filed: September 12, 2003	Group: Unassigned

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No
✓	A	EP 0 292 248	5/18/88	EP				
✓	B	WO 98/521258	5/14/98	WO				
✓	C	WO 0/25278	5/4/00	WO				
✓	D	EP 0 778 520	11/27/96	EP				

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

✓	E	International Preliminary Examination Report, PCT/US01/28687, International filing date September 14, 2001, date Search Report mailed January 5, 2004.

Examiner	Date Considered 8/17/06

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

OIPE JC67 FEB 09 2004 PATENT & TRADEMARK OFFICE

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172CNT	Serial No. 10/661,043
Information Disclosure Statement by Applicant	Applicant: Judith E. Schwabe	
(Use several sheets if necessary)	Filed: September 12, 2003	Group: Unassigned

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	A	5,613,101	5/18/97	Lillich			

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No
✓	B	EP 0 498 130 A2	12/10/91	EP				
	C	EP 0 778 520 A2	11/27/96	EP				
	D	WO 00/46666	8/10/00	WO				
✓	E	WO 00/25278	5/4/00	WO				

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

✓	F	Suresh Subramanian, "CRUISE: Using Interface Hierarchies to Support Software Evolution", IEEE, 1988, pp. 132-142.
✓	G	International Search Report, PCT/US 01/28579, International filing date September 12, 2001, date Search Report mailed- January 5, 2004.
✓	H	International Search Report, PCT/US 01/28688, International filing date September 14, 2001, date Search report mailed- January 5, 2004.

Examiner	Date Considered 5/11/05

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

OIPE JC24
JAN 12 2004
PATENT & TRADEMARK OFFICE

Form PTO-1449 (Rev. 03-02) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172CNT	Serial No. 10/661,043
Information Disclosure Statement by Applicant	Applicant: Judith E. Schwabe	
(Use several sheets if necessary)	Filed: September 12, 2003	Group: Unassigned

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
		5,701,408	12/23/97	Cornell et al.			
		5,761,510	6/2/98	Smith, Jr. et al.			
		6,058,393	5/2/00	Meier et al.			
		6,067,639	5/23/00	Rodrigues et al.			
		6,230,312	5/8/01	Hunt			
		6,272,674	8/7/01	Holiday, Jr.			
		6,370,686	4/9/02	Delo et al.			
		6,418,554	7/9/02	Delo et al.			
		6,519,767	2/11/03	Carter et al.			
		6,539,539	3/25/03	Larsen et al.			

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

		Joseph Bergin et al., "Java Resources for Computer Science Instruction", ITiCSE Working Group Reports, 1998, pp. 14-34.
		John B. Bowles et al., "A Comparison of Commercial Reliability Prediction Program", IEEE, 1990, pp. 450-455.
		Richard Cooper, "Persistent Languages Facilitate the Implementation of Software Version Management", IEEE, 1989, pp. 56-65.
		Alois Ferscha et al. "Java Based Conservative Distributed Simulation", 1997, pp. 381-388.
		Ian Foster et al., "A Security Architecture for Computational Grids", 5th Conference on Computer & Communications Security, 1998, pp. 83-92.
		Peter Lindsay et al., "A Generic Model for Fina Grained Configuration Management Including Version Control and Traceability", IEEE, 1997, pp. 27-36.

Examiner	Date Considered 5/17/06

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172CNT	Serial No.
Information Disclosure Statement by Applicant	Applicant: Schwabe, Judith	
(Use several sheets if necessary)	Filed: Herewith	Group:

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
✓	A	5,748,964	5/5/98	Gosling	395	705	12/20/1994
✓	B	5,615,137	3/25/97	Holzmann et al.	364	578	6/21/1996

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No
✓	C	0 685 792 A1	5/24/1995	EUROPE	G06F	11/00	X	
✓	D	WO 98/19237	5/7/1998	WO	G06F	9/46	X	

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

✓	E	David Basin "Java Bytecode Verification by Model Checking" System Abstract, pgs. 492-495 IT-Research Security (TZ/FE34)

Examiner	Date Considered 8/21/05

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172 CNT	Serial No.
Information Disclosure Statement by Applicant	Applicant: Schwabe, Judith E.	
(Use several sheets if necessary)	Filed: Herewith Group:	

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
JS		5,446,901	8/29/95	Owicki et al.	395	700	6/30/93
		5,884,316	03/16/99	Bernstein et al.	707	103	11/19/96
		6,182,158	01/30/01	Kougiouris et al.	709	328	8/19/97
JS		6,212,633	04/03/01	Levy et al.	713	153	05/26/98

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

JS	A	Daniels, John et al., "Strategies For Sharing Objects In Distributed Systems", JOOP, *Object Designers Ltd., UK*, pages 27-36

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172 CNT	Serial No.
Information Disclosure Statement by Applicant	Applicant: Schwabe, Judith E.	
(Use several sheets if necessary)	Filed: Herewith	Group:

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
Init.	A	98/37526	19.02.98	WO	G07F 7/10		
	B	0 718 761 A1	19.12.95	EPO	G06F 9/455	G06F 9/44	

	C	Chan, "Infrastructure of Multi-Application Smart Card", http://home.hkstar.com/~alanchan/papers/multiApplicationSmartCard/, July 25, 2002
	D	Chen, Zhiqun, "Java Card™ Technology for Smart Cards", *Sun Microsystems*, pages 11-16, June, 2000
	E	Ritchey, Tim, "Advanced Topics: The Java Virtual Machine", *Java!*, Chapter 14, pages 25-346, September 22, 1995
	F	Sun Microsystems, Inc., "Java Card™ 2.0 Language Subset and Virtual Machine Specification", October 13, 1997, Revision 1.0 Final.
	G	Sun Microsystems, Inc., "Java Card™ 2.0 Programming Concepts", October 15, 1997, Revision 1.0 Final.
	H	"Sun Delivers On Vision to Bring JAVA Technology to the Consumer and Embedded Market", Business Wire, September 28, 1999
	I	"Sun Microsystems Announces JAVACARD API", Business Wire, October 1996
	J	Sun Microsystems: "Sun's JAVA Technology Leads Open Worldwide Market for Smart Cards", M2, Presswire, May 1999

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172 CNT	Serial No.
Information Disclosure Statement by Applicant	Applicant: Schwabe, Judith E.	
(Use several sheets if necessary)	Filed: Herewith	Group:

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
~		5,668,999	09/16/97	Gosling	395	704	
~		6,092,147	07/18/00	Levy et al.	711	6	

Init.								

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4172 CNT	Serial No.
Information Disclosure Statement by Applicant	Applicant: Schwabe, Judith E.	
(Use several sheets if necessary)	Filed: Herewith	Group:

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
✓		5,999,731	12/7/99	Yellin et al.	G06F	9/45	3/24/98
✓		6,477,702	11/5/02	Yellin et al.	G06F	9/45	11/9/00

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No
✓		WO 00/00890	1/6/00	EP	G06F	11/00	Abstract	
✓		WO 01/14958	3/1/01	FR	G06F	9/00		

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

✓		George E. Necula, et al., "Proof-Carrying Code", November 1996, pp. 1-60
✓		Joachim Posegga, et al., "Byte Code Verification for Java Smart Cards Based on Model Checking", September 16-18, 1998, pp. 176-190

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Notice of References Cited	Application/Control No. SUN-P4172 CNT	Applicant(s)/Patent Under Reexamination Judith E. Schwabe
	Examiner	Art Unit / Page 6 of 6

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
X	A	US-6,002,871	12-1999	Duggan et al.	717/135
X	B	US-5,668,999	09-1997	Gosling, James A.	717/126
X	C	US-6,247,171	06-2001	Yellin et al.	717/126
X	D	US-6,075,940	06-2000	Gosling, James A.	717/126
X	E	US-6,052,732	04-2000	Gosling, James A.	709/229
X	F	US-5,748,964	05-1998	Gosling, James A.	717/126
X	G	US-6,477,666	11-2002	Sanchez et al.	714/41
X	H	US-6,138,112	10-2000	Slutz, Donald R.	707/2
X	I	US-6,038,378	03-2000	Kita et al.	714/38
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.